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10-31-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : William Earl Vernon

Serial No. : 10/028,055

Filed : December 21, 2001

Title : CLOSED LOOP ASSET MANAGEMENT PROCESS

Art Unit : ~~Unknown~~ 2175

Examiner : ~~Unknown~~ Abdel-Jahil, Nerveen

RECEIVED

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

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Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

Oct 22, 2003

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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